

## Client Questionnaire

### A. CLIENT INFORMATION

Name: \_\_\_\_\_, Company ID No. (IČO): \_\_\_\_\_  
Client's representative: \_\_\_\_\_, Birthdate: \_\_\_\_\_

J&T BANKA, a.s., ("J&T Banka") needs you to provide the information requested herein to allow it to fulfil its statutory obligations. For more information, visit [www.jtbank.cz](http://www.jtbank.cz) and click on Personal Data Protection (Ochrana osobních údajů).

**1. We would like to enter into/we have entered into a contract with J&T Banka for the following purpose (you may select more than one response):**

- Maintaining a current account and payment system (cash and cashless payments)
- Protecting our financial assets
- Appreciating our financial assets
- Creating financial assets through regular investments
- Other reason, please state: \_\_\_\_\_

**2. We hereby declare that:**

- No person or entity that is a member of a statutory body or a beneficial owner is a politically exposed person (in case that a legal entity is established by a state institution or regional government, please so indicate)
- The people listed below, who are members of the governing body or the actual owner, are politically exposed persons:  
Name and surname: \_\_\_\_\_, born on \_\_\_\_\_, for the following reason:  
\_\_\_\_\_  
Name and surname: \_\_\_\_\_, born on \_\_\_\_\_, for the following reason:  
\_\_\_\_\_.

According to Section 4(5) of Act No. 253/2008 Coll., on selected measures against the legitimisation of proceedings of crime and financing of terrorism, a Politically Exposed Person is a natural person who is or in the past was in a prominent public position and with nation-wide or regional responsibilities, or a natural person linked to such person (closely related person, business partner or actual owner of an entity established in benefit of such person).

**3. We are a tax resident of (list all the countries in which you are a tax resident under the respective legislation of such countries):**

- the Czech Republic
- of \_\_\_\_\_ and our Tax ID No. is: \_\_\_\_\_
- of \_\_\_\_\_ and our Tax ID No. is: \_\_\_\_\_

**4. We hereby declare that:**

- We are not a legal person established in the United States of America or according its laws or the laws of any state thereof, nor are we controlled by a person who is a citizen or resident of the Unites States of America.
- We are a legal person established in the United States of America or according to its laws or the laws of any state thereof or we are controlled by a person who is a citizen or resident of the United States of America.

### B. SUPPLEMENTARY INFORMATION

**1. We are interested in the following services at J&T Banka (you may select more than one response):**

- Payments, deposit products, credit products or bond issues
- Investments we will choose ourselves
- Investment advice
- Management of our assets by the bank
- Investment in derivative investment instruments or credit trading (financial leverage)

**2. In utilizing the current account, we will regularly carry out cash transactions:**

J&T Banka, a. s., Sokolovská 700/113a, 186 00 Prague 8, Czech republic, phone: +420 800 707 606, [info@jtbank.cz](mailto:info@jtbank.cz), [www.jtbank.cz](http://www.jtbank.cz)

Registration Number: 47115378, VAT Number: CZ699000619, Incorporated into the companies register at City Court in Prague, section B, inset 1731, SWIFT: JTBP CZPP

- Up to CZK 400 000 per month
- Over CZK 400 000 per month
- We do not plan regularly to carry out cash transactions

**3. Cashless transactions carried out using our current account will be as follows:**

- We plan to make frequent payments within the European Union
- We plan to make frequent payments worldwide
- We do not plan to make frequent payments

**4. We plan to invest at J&T Banka (if you plan to invest regularly, include the planned target amount):**

- Up to CZK 1 000 000
- From CZK 1 000 001 to CZK 5 000 000
- From CZK 5 000 001 to CZK 10 000 000
- From CZK 10 000 001 to CZK 50 000 000
- More than CZK 50 000 000

**5. Our funds originate from the following activities (you may select more than one response):**

- Business:
  - Trading in works of art or precious metals; operating a second-hand shop, pawnshop or currency exchange
  - Trading (including import and export) in commodities (oil and energy commodities, wood, agricultural commodities, electronics)
  - Trading with weapons, armament equipment or weapons technology
  - Betting agencies, casinos, slot machines, lotteries
  - Business using crypto currency
  - Trading with hazardous chemicals, fuels, ores, metals, waste or scrap
  - Other, please state: \_\_\_\_\_
- Revenue from own assets (rent, profit share, returns on investments, interest etc.)
- Inheritance
- Gift/donations
- Other sources; please state: \_\_\_\_\_

**6. Our main activities include:**

\_\_\_\_\_

**7. Our main business partners include:**

\_\_\_\_\_

**8. The nature of our prevailing income ranks us among the following:**

- Active entities - an entity whose decisive income is generated by active operations (e.g., manufacturing or trade, provision of services etc.).
- Passive entities – an entity with the vast majority of its income generated by passive operations (i.e., financial activities, dividend proceeds or interest, lease of own or leased real estate etc.).
- Financial institutions – a custodial institution, depository institution, investment entity or specified insurance company.
- Non-reporting financial institutions, e.g., a government entity, international organisation.

The terms entity and institution follow the definitions under Act No. 164/2014 Coll., on international cooperation in tax administration.

**9. Our planned annual turnover at J&T Banka will be:**

\_\_\_\_\_

**10. Our company and publicly traded investment instruments:**

- We are neither an issuer nor a member of a group of an issuer of a publicly tradable investment instrument (shares, bonds)
- We are an issuer or member of a group of an issuer of a publicly traded investment instrument (shares, bonds)

**11. The average amount of our annual EBITA** (equity before deduction of interest, taxes, write-offs and amortisation):

- Up to CZK 400 000
- From CZK 400 001 to CZK 1 200 000
- From CZK 1 200 001 to CZK 3 600 000
- From CZK 3 600 001 to CZK 7 200 000
- More than CZK 7 200 001

The legal person's responsible person for investment decisions shall fill out this section of the questionnaire. If there is more than one such person, the person with the least knowledge shall fill it out.

Prague, on \_\_\_\_\_

[●]

Registration number: [●]

Name: \_\_\_\_\_

Function: \_\_\_\_\_

\_\_\_\_\_

[●]

Registration number: [●]

Name: \_\_\_\_\_

Function: \_\_\_\_\_

\_\_\_\_\_

**Identity Verification** / I hereby confirm that I verified the Identity of persons who represent Client.

Prague, on \_\_\_\_\_

IMPERIUM FINANCE s.r.o.